


# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

### USPTO Use Only

|                               |   |   |
|-------------------------------|---|---|
| EFS ID:                       | 16922   |   |
| Application ID:               | 10064665  |   |
| Title of Invention:           | SYSTEM AND METHOD FOR<br>PROVIDING ASSET MANAGEMENT<br>AND TRACKING CAPABILITIES  |   |
| First Named Inventor:         | John CARBONE  |   |
| Domestic/Foreign Application: | Domestic Application  |   |
| Filing Date:                  | null  |   |
| Effective Receipt Date:       | 2002-08-05  |  |
| Submission Type:              | Utility Patent Filing   |   |
| Filing Type:                  | new-utility   |   |
| Confirmation Number:          | 0   |   |
| Attorney Docket Number:       | 61765.005009  |   |
| Digital Certificate Holder:   | cn=Robin Clark, ou=Registered Attorneys, ou=Patent and<br>Trademark Office, ou=Department of Commerce, o=U.S.<br>Government, c=US |   |
| Certificate Message Digest:   | j+1ewclQl5EunZla0ELr8A==  |   |
| Total Fees Authorized:        | \$1280.0  |   |
| Payment Category:             | DA - Deposit Account  |   |
| Deposit Account Number:       | 500206  |   |
| Deposit Account Name:         | Robin C. Clark  |   |

## TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket  
Number:

61765.005009

Submission Type: Utility  
Patent Filing**SYSTEM AND METHOD FOR  
PROVIDING ASSET MANAGEMENT  
AND TRACKING CAPABILITIES**

First Named Inventor: Mr. John William CARBONE

## SUBMITTED BY

|                                     |                       |
|-------------------------------------|-----------------------|
| Name:                               | Mr. Robin C. Clark    |
| Registration Number:                | 40956                 |
| Electronic Signature Mark: rclark41 | Date Signed: 20020805 |

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

## Attached Files:

|             |          |
|-------------|----------|
| declaration | dec1.tif |
| declaration | dec2.tif |
| declaration | dec3.tif |

bibb-transmittal  
fee-transmittal  
specification

GRC5009apds.xml  
GRC5009fee.xml  
specification.xml

**Attached Image File(s):**

dec1.tif  
dec2.tif  
dec3.tif

Comments:

### JOINT DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names;

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought (invention entitled **SYSTEM AND METHOD FOR PROVIDING ASSET MANAGEMENT AND TRACKING CAPABILITIES**, the specification of

☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Application Serial Number \_\_\_\_\_ and was  
amended on \_\_\_\_\_  
(if applicable)

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claim amended by any amendment referred to in this declaration.

We acknowledge the duty to disclose all information known to us to be material to the patentability of this application, as defined in 37 C.F.R. § 1.56.

We acknowledge the duty to disclose to the Office all information known to us to be material to patentability as defined in § 1.56, became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

#### Prior Foreign Application(s)

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before the application on which priority is claimed:

| Country | Application Number | Date of Filing<br>(day, month, year) | Date of Issue<br>(day, month, year) | Priority Claimed<br>Under<br>35 U.S.C. 119               |
|---------|--------------------|--------------------------------------|-------------------------------------|--|
|         |                    |                                      |                                     | Yes <input type="checkbox"/> No <input type="checkbox"/> |
|         |                    |                                      |                                     | Yes <input type="checkbox"/> No <input type="checkbox"/> |

#### Prior United States Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below

| Application Serial Number | Date of Filing<br>(day, month, year) |
|---------------------------|--------------------------------------|
|                           |                                      |

#### Prior United States Application(s)

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| Application Serial Number | Date of Filing<br>(day, month, year) | Status - Patented,<br>Pending, Abandoned |
|---------------------------|--------------------------------------|--|
|                           |                                      |  |
|                           |                                      |  |
|                           |                                      |  |

Attorney Docket No. 61765.0

GRC Docket No.

And we hereby appoint, both jointly and severally, as our attorneys with full power of substitution and revocation, to prosecute and to transact all business in the Patent and Trademark Office connected herewith the following attorneys, their registration numbers being listed after their names

Stanislaus Aksman, Registration No. 28,562; Jennifer A. Albert, Registration No. 32,012; Thomas E. Anderson, Registration No. 37,040; David E. Baker, Registration No. 42,285; Scott D. Balderston, Registration No. 35,436; Carl L. Benson, Registration No. 38,378; Brian Buroker, Registration No. 39,125; Christopher C. Campbell, Registration No. 37,291; Robin C. Clark, Registration No. 40,956; Patrick Doody, Registration No. 35,022; Kevin T. Duncan, Registration No. 41,495; Ozzie Farres, Registration No. 43,606; Nancy Flint, Registration No. 46,704; Christopher J. Forstner, Registration No. 46,049; Charles F. Hollis, Registration No. 40,650; Nancy J. Jensen, Registration No. 45,913; Herbert V. Kerner, Registration No. 42,721; Jonathan D. Link, Registration No. 41,548; Tyler Maddry, Registration No. 40,074; Michael Martinez, Registration No. 31,178; David H. Milligan, Registration No. 42,893; James R. Miner, Registration No. 40,444; Kerry Owens, Registration No. 37,412; Andrew J. Ririe, Registration No. 45,597; Stephen T. Schreiner, Registration No. 43,097; Robert M. Schulman, Registration No. 31,196; Thomas J. Scott, Jr., Registration No. 27,836; Yisun Song, Registration No. 44,487; Samson Ver Registration No. 42,202; and Scott F. Yarnell, Registration No. 45,245.

All correspondence and telephone communications should be addressed to Hunton & Williams, 1900 K Street, NW, Washington 20006-1109, telephone number (202) 955-1500, which is also the address and telephone number of each of the above listed attorneys

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements jeopardize the validity of the application or any patent issuing thereon.

|                              |  |                                     |                                     |
|------------------------------|--|-------------------------------------|-------------------------------------|
| Signature _____              |  | Date _____                          |                                     |
| Full Name of First Inventor  | <b>CARBONE</b><br>Family Name                        | <b>John</b><br>First Given Name     | <b>William</b><br>Second Given Name |
| Residence                    | Niskayuna, New York                                  |                                     |                                     |
| Citizenship                  | United States  |                                     |                                     |
| Post Office Address          | 944 Riverview Road<br>Niskayuna, New York 12309      |                                     |                                     |
| Signature _____              |  | Date _____                          |                                     |
| Full Name of Second Inventor | <b>GUI</b><br>Family Name                            | <b>John</b><br>First Given Name     | <b>Yupeng</b><br>Second Given Name  |
| Residence                    | Niskayuna, New York                                  |                                     |                                     |
| Citizenship                  | United States  |                                     |                                     |
| Post Office Address          | 10 Oak Tree Lane<br>Niskayuna, New York 12309        |                                     |                                     |
| Signature _____              |  | Date _____                          |                                     |
| Full Name of Third Inventor  | <b>SCHOLZ</b><br>Family Name                         | <b>Bernhard</b><br>First Given Name | <b>Joseph</b><br>Second Given Name  |
| Residence                    | Ballston Lake, New York                              |                                     |                                     |
| Citizenship                  | United States  |                                     |                                     |
| Post Office Address          | 12 Tanglewood Drive<br>Ballston Lake, New York 12019 |                                     |                                     |

|                              |   |                                  |
|------------------------------|---|----------------------------------|
| Signature _____              |   | Date _____                       |
| Full Name of Fourth Inventor | <b>GANESH</b><br>Family Name                        | <b>Meena</b><br>First Given Name |
|                              |   | Second Given Name                |
| Residence                    | Clifton Park, New York                              |                                  |
| Citizenship                  | United States                                       |                                  |
| Post Office Address          | 40 Timberwick Drive<br>Clifton Park, New York 12065 |                                  |

|                             |   |                                     |
|-----------------------------|---|-------------------------------------|
| Signature _____             |   | Date _____                          |
| Full Name of Fifth Inventor | <b>CARNEY</b><br>Family Name                    | <b>Sean</b><br>First Given Name     |
|                             |   | <b>Douglas</b><br>Second Given Name |
| Residence                   | Clifton Park, New York                          |                                     |
| Citizenship                 | United States                                   |                                     |
| Post Office Address         | 10 Temple Hills<br>Clifton Park, New York 12065 |                                     |

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 1280**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 50-0206

Deposit Account Name: Hunton and Williams



Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

## SUBMITTED BY

Authorized Name: Robin C. Clark

Electronic Signature Mark: rclark41

Date Signed: 20020805

## BASIC FILING FEE

| Fee Description    | Fee Code | Fee Paid |
|--------------------|----------|----------|
| Utility Filing Fee | 101      | \$ 740   |

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

|                       | Fee Code | Fee   | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 50      | 103      | \$ 18 | 30           | \$ 540   |
| Independent Claims: 2 | 102      | \$ 84 | 0            | \$ 0     |



APP ID: 10064665

Subtotal For Extra Claims Fees: \$ 540